



Attorney Docket # 4868-16

DEC

Patent

#10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Frank SCHMIDT et al.

Serial No.: 09/942,807

Filed: August 30, 2001

For: Braking Device for a Bicycle

Examiner: Schwartz, C. P.

Group Art: 3683

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

December 3, 2002

(Date of Deposit)

Alfred W. Froelich

Name of applicant, attorney or Registered Representative

Signature

December 3, 2002

Date of Signature

Assistant Commissioner for Patents

Washington, DC 20231

Box: ISSUE FEE

INFORMATION DISCLOSURE STATEMENT

S I R:

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. §§1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. Copies of the listed documents are also enclosed.

This information is being submitted after issuance of a Final Office Action on the merits, or after issuance of a Notice of Allowance, but before payment of the Issue Fee under rule 1.97 (d).

Also enclosed is a copy of the European Search Report citing the references.

DE 299 08 498 and corresponding U.S. Patent No. 6,148,964 disclose a front disk brake for a bicycle.

12/09/2002 RWDNDAF1 00000044 09942807

01 FC:1806

180.00 OP

10/02

DE 25 22 622 and corresponding U.S. Patent No. 4,029,179 disclose a disk brake with an axially floating casing.

EP 0688713 and corresponding U.S. Patent No. 5,535,855 disclose a bicycle hub brake.

DE 195 36 157 which is also cited in the European Search Report has been previously submitted in an Information Disclosure Statement filed on December 3, 2001.

STATEMENT

Each item of information contained in the Information Disclosure Statement was first cited in a communication received from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

In accordance with 37 C.F.R §1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

A check in the amount of \$180.00 in payment of the appropriate fee is enclosed.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: 

Alfred W. Froeblich
Reg. No. 38,887
551 Fifth Avenue, Suite 1210
New York, New York 10176
(212) 687-2770

Dated: December 3, 2002